S/N

10/553,943

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

ASADA et al.

Examiner:

Unknown

Serial No.:

10/553,943

Group Art Unit:

Unknown

Filed:

October 20, 2005

Docket:

8279.974USWO

Title:

NOVEL SUBSTRATE HAVING ALPHA-GLUCOSIDASE

INHIBITING ACTIVITY AND FOOD CONTAINING THE

SAME

CERTIFICATE UNDER 37 CFR 1.6(d): I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on February.

2006.

By:

Name: Ralynn Wilhelm

INFORMATION DISCLOSURE STATEMENT(37 C.F.R. § 1.97(c))

Mail Stop AMENDMENTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449. Applicants respectfully bring to the Examiner's attention that thirteen of the references listed on the enclosed Form 1449 were cited in an International Search Report, enclosed herewith.

Applicants believe that the references from the ISR are available to the Examiner and therefore are not supplying copies.

A concise explanation of the relevance of each non-English language document or other information is a follows (37 C.F.R. §(a)(3)):

> US 6,376,682 is equivalent to JP 11-29472. An English abstract has been provided for the following: JP 2000-86653, JP 2001-103928, JP 2002-104979, JP 2003-171299.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. Box 2902-0902

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Douglas P. Mueller

Reg. No. 30,300

52835 PATENT TRADEMARK OFFICE

DPM/rkw

Dated: February [4]

8

Date Mailed: February 14, 2006

FORM 1449*

sheet 1 of 1

INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 8279.0974USWO Application Number: 10/553,943

Applicant: ASADA et al.

Filing Date: October 20, 2005

Group Art Unit: unknown

			U	J.S. PA	TENT DOCUMEN	TS			
EXAMINER INITIAL	DOCUMENT NO.		DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
6,376,682			April 2002	Yamahara					
			FOR	EIGN	PATENT DOCUM	ENTS			
	2000-86653 11-29472 2001-103928 01/49674 2002-104979 2003-171299		DATE March 2000 February 1999		COUNTRY	CLASS	SUBCLASS	TRANSLATION	
								YES	NO
					JAPAN			Abstract	
					JAPAN				
			April 2004		JAPAN			Abstract	
			July 2001 April 2002		WIPO				
					JAPAN			Abstract	
			June 2003		JAPAN			Abstract	
		OTHER	DOCUMENTS	S (Inch	iding Author, Title, I	Date, Pertinent I	Pages, Etc.)		
		Masayuki YOSHIKAWA et al., "Salacia-Zoku Shokubutsu no Polyphenol Seibun: alpha-Glucosidase Oyobi Aldose Reductase Sogai Kassei Seinbun", Mangiferin, no Teiryo Bunseki, Journal of the Pharmaceutical Society of Japan, 2001, 121(5), pages 371-378, full text							
		YOSHIKAWA, M. et al., Kotalanol, a Potent alpha-Glucosidase Inhibitor with Thiosugar Sulfonium Sulfate Structure, from Antidiabetic Ayurvedic Medicine Salacia reticulata., Chem. Pharm. Bull., 1998, 46(8), pages 1339 to 1340							
		YOSHIKAWA, M. et al., SALACINOL, POTENT ANTIDIABETIC PRINCIPLE WITH UNIQUE THIOSUGAR SULFONIUM SULFATE STRUCTURE FROM THE AYURVEDIC TRADICIONAL MEDICINE Salacia retculata IN SRILANKA AND INDIA., Tetrahedron Lett., 1997, 38(48), pages 8367 to 8370							
		MATSUDA, H. et al., Antidiabetic Principals of Natural Medicines., IV., Aldose Reductase and alpha- Glucosidase inhibitors form the Roots of saslacia oblonga Wall. (Celastraceae): Structure of New Friendelane- Type Triterpene, Kotalagenin 16-Acetate., Chem. Pharm.Bull., 1999, 47(12), pages 1725 to 1729							
		Tonyobto	JIMOTO et al., Shorei ni Taisur 000,53(5), pages	u Rins	ia reticulate Mizu Ch ho Koka", Journal of 205, full text	ushutsbutsu ni Tapanese Socie	Okeru Kyokaigata ty of Nutrition, an	Oyobi Keisho d Food	2-gata
					'Thai-san Salacia chi				

52835 ATENT TRADEMARK OFFIC

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE